

## PATENT APPLICATION

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of

Kenji HORI

Group Art Unit: 2873

Application No.: 10/032,093

Examiner:

M. Hasan

Filed: December 31, 2001

Docket No.: 111608

For:

OPTICAL COMPONENT THICKNESS ADJUSTMENT METHOD, OPTICAL

COMPONENT, AND POSITION ADJUSTMENT METHOD FOR OPTICAL

COMPONENT

## INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Pursuant to 37 CFR §1.56, the attention of the Patent and Trademark Office is hereby directed to the reference(s) listed on the attached PTO-1449. Unless otherwise indicated herein, one copy of each reference is attached. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the reference(s) be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

- $\boxtimes$ 1. This Information Disclosure Statement is being filed more than three months after the U.S. filing date AND after the mailing date of the first Office Action on the merits, but before the mailing date of a Final Rejection, Notice of Allowance or other action that closes prosecution (e.g., Quayle Action).
- $\boxtimes$ Attached is our check no. 146332 in the amount of \$180.00 in payment of the fee a. under 37 CFR §1.17(p). Please credit or debit Deposit Account No. 15-0461 as needed to ensure consideration of the disclosed information. Two duplicate copies of this paper are attached.
- $\bowtie$ English-language Abstract of the non-English language reference is attached hereto.

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3. A computer-generated English translation of the following Japanese Patent Publication has been obtained from the website of the Japanese Patent Office ([http://www.jpo.go.jp]), and is attached, but has not been reviewed for accuracy. See Reference JP 10-241214.

Respectfully submitted,

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MAC:KLK/kzb

Date: September 22, 2003

OLIFF & BERRIDGE, PLC P.O. Box 19928 Alexandria, Virginia 22320 Telephone: (703) 836-6400 DEPOSIT ACCOUNT USE AUTHORIZATION Please grant any extension necessary for entry; Charge any fee due to our

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Form PTO-1 ATTY DOCKET NO. US Dept. of Commerce APPLICATION NO. (REV. 8-83) PATENT & TRADEMARK OFFICE 111608 10/032,093 INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary) APPLICANT(S) Kenji HORI FILING DATE December 31, 2001 U.S. PATENT DOCUMENTS **EXAMINER** SUB DOCUMENT NUMBER INITIAL DATE NAME CLASS CLASS FOREIGN PATENT DOCUMENTS SUB DOCUMENT NUMBER DATE COUNTRY **CLASS CLASS** JP 5-164904 A w/abstract 06/29/1993 Japan JP 10-241214 A w/English 09/11/1998 Japan Translation OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.) **EXAMINER** DATE CONSIDERED Examiner: Initial if citation considered, whether or not citation is in conformance with M.P.E.P. 609; draw line through citation if not in

conformance and not considered. Include copy of this form with next communication to applicant.

Date: September 22, 2003